

EMOTION MODELLING USING NEURAL NETWORK

A thesis submitted to the Faculty of Information Technology in partial Fulfillment of the requirements for the degree Master of Science (Information Technology),
Universiti Utara Malaysia

by
Lam Choong Kee

© Lam Choong Kee, 2005. All rights reserved



JABATAN HAL EHWAL AKADEMIK
(Department of Academic Affairs)
Universiti Utara Malaysia

PERAKUAN KERJA KERTAS PROJEK
(Certificate of Project Paper)

Saya, yang bertandatangan, memperakukan bahawa
(I, the undersigned, certify that)

LAM CHOONG KEE

calon untuk Ijazah
(candidate for the degree of)

MSc. (IT)

telah mengemukakan kertas projek yang bertajuk
(has presented his/her project paper of the following title)

EMOTION MODELLING USING NEURAL NETWORK

seperti yang tercatat di muka surat tajuk dan kulit kertas projek
(as it appears on the title page and front cover of project paper)

bahawa kertas projek tersebut boleh diterima dari segi bentuk serta kandungan dan meliputi bidang ilmu dengan memuaskan.
(that the project paper acceptable in form and content, and that a satisfactory knowledge of the filed is covered by the project paper).

Nama Penyelia Utama
(Name of Main Supervisor): **ASSOCIATE PROFESSOR FADZILAH SIRAJ**

Tandatangan
(Signature) :  Tarikh (Date): 25/10/2005

Nama Penyelia Kedua
(Name of 2nd Supervisor): **NOORAINI YUSOFF**

Tandatangan
(Signature) :  Tarikh (Date): 25/10/2005

PERMISSION TO USE

In presenting this thesis in partial fulfillment of the requirements for a postgraduate degree from Universiti Utara Malaysia, I agree that the University Library may make it freely available for inspection. I further agree that permission for copying of this thesis in any manner, in whole or in part, for scholarly purpose may be granted by my supervisor(s) or, in their absence by the Dean of the Graduate School. It is understood that any copying or publication or use of this thesis or parts thereof for financial gain shall not be allowed without my written permission. It is also understood that due recognition shall be given to me and to Universiti Utara Malaysia for any scholarly use which may be made of any material from my thesis.

Requests for permission to copy or to make other use of materials in this thesis, in whole or in part, should be addressed to

**Dean of Faculty on Information Technology
Universiti Utara Malaysia
06010 UUM Sintok
Kedah Darul Aman**

ABSTRACT (ENGLISH)

Emotion has become an important interface for the communication between human and machine. Human's emotion can be detected by the machine, and machine can respond to it and interact with human in a more natural and adaptive environment. This study attempts to model emotion using neural network technique. Six primary emotions considered in this study are *anger*, *disgust*, *fear*, *happiness*, *sadness*, and *surprise*. For data preparation, front views of child facial expression images have been captured with Sony Cyber-shot DSC U50 digital camera and extracted using MATLAB Image Processing toolbox. A dataset consists of 120 patterns with 82 attributes and emotion targets have been gathered at the end of image processing activity. The dataset was tested on Multilayer Perceptron with back-propagation learning algorithm. The emotion model obtained in this study uses parameters such as; learning rate 0.1, momentum rate 0.1, Sigmoid activation function, 200 epoch learning stopping criteria, with its architecture, 82 input units, 10 hidden units, and 6 output layer units. The Neural Network performance achieved 97.50 percent accuracy whereas the regression model obtained 66.67 percent accuracy. This result indicates that neural network has high potential to be used as emotion.

ABSTRACT (BAHASA MELAYU)

Emosi merupakan antaramuka yang penting dalam komunikasi antara manusia dan mesin. Emosi manusia dikesan oleh mesin yang dapat bertindak balas dengannya serta berinteraksi dengan manusia dalam persekitaran semulajadi dan adaptif. Kajian ini bertujuan memodelkan emosi dengan menggunakan teknik rangkaian neural. Enam emosi utama yang digunakan dalam kajian ini ialah *marah*, *jijik*, *takut*, *gembira*, *sedih*, dan *terkejut*. Untuk menyediakan data bagi kajian ini, imej pandangan hadapan wajah kanak-kanak telah dirakamkan oleh Sony Cybershot DSC U50 kamera berdigit dan diekstrak dengan MATLAB Image Processing Toolbox. Satu dataset terdiri daripada 120 rekod dengan 82 atribut dan jenis emosi telah dibentuk pada hujung akhir aktiviti pemrosesan imej. Dataset ini telah diuji dengan algoritma pembelajaran rambatan balik. Model emosi yang diperolehi dalam kajian ini menggunakan parameter seperti; kadar pembelajaran 0.1, kadar momentum 0.1, fungsi aktivasi sigmoid, kriteria penghentian pembelajaran 200 pusingan dengan senibinanya 82 unit input, 10 unit tersembunyi dan 6 unit output. Rangkaian neural mencapai 97.50% berbanding model regresi yang berupaya mencapai 66.67% ketepatan. Hasil kajian menunjukkan bahawa rangkaian neural mempunyai potensi untuk digunakan sebagai model emosi.

ACKNOWLEDGEMENT

Heartfelt thanks are due first to my main supervisor, Associate Professor Fadzilah Siraj for patiently navigating and generously sharing her rich source of knowledge with me. She is indeed a teacher of “*open hand, open mind, and open heart*”.

Equally thankful to my second supervisor, Miss Nooraini Yusoff for zealously giving a hand to her utmost.

I am most indebted to my parents for all the love and support in giving me the best gift; “*Education*”- a lifelong priceless present that can never be destroyed by calamities. Last but not least, let me express my deep appreciation to all who lend a hand in materializing this project especially Chen Shin Yi.

Lam Choong Kee

Faculty of Information Technology

2005

TABLE OF CONTENTS

Permission to Use	i
Abstract	ii
Abstract (Bahasa Melayu)	iii
Acknowledgement	iv
Table of Contents	v
List of Figures	ix
List of Table	x

CHAPTER 1: INTRODUCTION

1.1 Overview	1
1.2 Problem Statement	3
1.3 Objective of the Study	4
1.4 Scope of the Study	4
1.5 Significance of the Study	4
1.6 Thesis Organization	6

CHAPTER 2: LITERATURE REVIEW

2.1 Emotion and Facial Expression	7
2.2 Pattern Recognition	10
2.3 Artificial Neural Network	12

CHAPTER 3: METHODOLOGY

3.1 Data Preparation.....	18
3.1.1 Image Capturing.....	19
3.1.2 Filtering.....	21
3.1.3 Thresholding.....	22
3.1.4 Edge Detection.....	24
3.1.5 Features Extraction.....	26
3.1.6 Dataset.....	27
3.1.7 Source code of Image Processing.....	28
3.2 Develop Emotion Model.....	32
3.2.1 Modeling Tool.....	32
3.2.2 Software System.....	33
3.2.3 Neural Network Training and Testing.....	33
3.2.4 Hidden Unit Selection.....	35
3.2.5 Learning Rate Selection.....	35
3.2.6 Momentum Rate Selection.....	36

3.2.7 Activation Function Selection.....	36
3.2.8 Number of Epoch Selection.....	37
3.3 Conclusion.....	38

CHAPTER 4: RESULTS AND DISCUSSION

4.1 Initial Study	39
4.2 Hidden Unit	43
4.3 Learning Rate	45
4.4 Momentum Rate	47
4.5 Epoch	48
4.6 Neural Network Versus Logistic Regression	50
4.7 Conclusion	51

CHAPTER 5: CONCLUSION AND RECOMMENDATIONS

Conclusion and Recommendation	52
-------------------------------------	----

REFERENCES

References	54
------------------	----

APPENDICES

Appendix A: Anger Facial Expressions and Facial Component Form

Appendix B: Disgust Facial Expressions and Facial Component Form

Appendix C: Fear Facial Expressions and Facial Component Form

Appendix D: Happiness Facial Expressions and Facial Component Form

Appendix E: Sadness Facial Expressions and Facial Component Form

Appendix F: Surprise Facial Expressions and Facial Component Form

LIST OF FIGURES

Figure 3.1	Image Processing Stages	19
Figure 3.2	Samples of Captured Facial Expression Image	20
Figure 3.3	Sample of RGB Image Converted to Grayscale Intensity Image	20
Figure 3.4	Sample of Grayscale Intensity Image Converted to Filtered Image	21
Figure 3.5	Sample of Filtered Image Converted to Equalized Image	22
Figure 3.6	Sample of Equalized Image Converted to Binary Image	23
Figure 3.7	Sample of Binary Image Converted to Simplified Image	23
Figure 3.8	Sample of Cropped Facial Components	24
Figure 3.9	Sample of Facial Components Edge	25
Figure 3.10	Sample of Edge Gaps are Mended	25
Figure 3.11	Sample of Facial Component Form	26
Figure 3.12	Sample of Image Data Transformed to Numerical Data	27
Figure 3.13	Source Code of Image Processing (1)	29
Figure 3.14	Source Code of Image Processing (2)	30
Figure 3.15	Steps of Neural Network Training and Testing	34

LIST OF TABLES

Table 4.1	Results of Epoch in Initial Study	40
Table 4.2	Results of Epoch 300, 400 and 500	41
Table 4.3	Results of Data Partition	42
Table 4.4	Results of Hidden Units	43
Table 4.5	Results of Hidden Unit 8 and 10	44
Table 4.6	Results of Learning Rate	45
Table 4.7	Results of Learning Rate 0.1 and 0.7	46
Table 4.8	Results of Momentum Rate	47
Table 4.9	Results of Momentum Rate 0.1 and 0.2	48
Table 4.10	Results of Epoch	49
Table 4.11	Results of Epoch 200 and 300	49
Table 4.12	Final Model	50
Table 4.13	Comparison of Neural Network and Logistic Regression	50

CHAPTER 1

INTRODUCTION

1.1 Overview

Emotion is also known as mood (Columbia Encyclopedia, 2005) has always been used for showing human's feeling. There are many types of human's emotion until no people can tell the total amount of it. Nevertheless, there are six primary emotions are shown in the similar way by people throughout the world regardless of culture, which are happiness, surprise, sadness, fear, disgust, and anger (Morris and Maisto, 2002).

To date, a communication not only occurs among the human, human and animal, but also human and machine. In order to develop intuitive, effective and smoother human-machine interaction system, "Active Human Interface" that has the functions such as understanding human's emotion was proposed. Based on the understanding, the machine will calculate what kind of response to be taken and the calculated results will act as a reference by the machine to determine the response toward a human being (Kobayashi and Hara, 1992).

The contents of
the thesis is for
internal user
only

REFERENCES

- Anzai, Y. (1992). *Pattern recognition and machine learning*. London: Academic Press Limited.
- Cacioppo, J. T., & Gardner, W. L. (1999). Emotion. *Annual review of Psychology*, 50, 191-214.
- Cacioppo, J. T., & Petty, R. E. (1983). The inner and outer meaning of facial expression. Retrieved September 6, 2005, from http://face-and-emotion.com/dataface/misctext/inner_outer.html.
- Chang, J. Y., & Chen, J. L. (1999). A facial expression recognition system using neural networks. *Journal of IEEE*, 3511-3516. Retrieved June 25, 2005, from IEEE Explorer.
- Clark, B. (2003). A human nature, learning and mind web assignment. Retrieved September 6, 2005, from <http://www.evolution.massey.ac.nz/assign2/BC/ANN.htm>.
- Columbia Encyclopedia (2005). The columbia encyclopedia. Retrieved June 26, 2005, from <http://www.bartley.com/6>.

dataFace. (2003). Emotion and facial expression. Retrieved June 16,2005, from http://face-and-emotion.com/dataface/misctext/inner_outer.html.

Demuth, H., & Beale, M. (2000). *Neural network toolbox*. Natick, MA: The MATHWORKS.

Dunham, M. H. (2003). *Data mining: Introductory and advanced topics*. New Jersey: Prentice Hall.

Essa, A., & Pentland, P. (1997). Coding, analysis, interpretation, and recognition of facial expressions. *Journal of IEEE*, 19(7), 757-763. Retrieved June 26, 2005, from IEEE Explorer.

Goleman, D. (1997). *Emotion intelligence: Why it can matter more than IQ*. New York: Bantan Books.

Hung, C. C., Coleman, T. L., & Long, O. (n.d). Supervised and unsupervised neural models for multispectral image classification. *Journal of IEEE*. Retrieved July 24, 2005, from IEEE Explorer.

Kobayashi, H., & Hara, F. (1992). Recognition of six basic facial expressions and their strength by neural network. *IEEE internation workshop on robot and human communication*, 381-386. Retrieved July 4, 2005, from IEEE Explorer.

- Kobayashi, H., & Hara, F. (n.d). The recognition of basic facial expressions by neural network. *Journal of IEEE*, 460-466. Retrieved June 24, 2005, from IEEE Explorer.
- Lazarus, R. S. (1999). *Stress and emotion: A new synthesis*. New York: Springer.
- Leon, E., Clarke, G., Sepulveda, F., & Callaghan, V. (2004). Optimised attribute selection for emotion classification using physiological signals. *Journal of IEEE*, 184-187. Retrieved August 7, 2005, from IEEE Explorer.
- Ma, L., & Khorasani, K. (2004). Facial expression recognition using constructive feedforward neural networks. *Journal of IEEE*, 34(3), 1588-1595. Retrieved June 26, 2005, from IEEE Explorer.
- Microsoft Encarta Reference Library (2004). *Neural network*. Microsoft Corporation.
- Morishima, S., & Harashima, H. (1993). Emotion space for analysis and synthesis of facial expression. *IEEE international workshop on robot and human communication*, 188-193. Retrieved July 9, 2005, from IEEE Explorer.
- Morris, C. G. & Maisto, A. A. (2002). *Psychology: An introduction*. New Jersey: Prentice Hall.

NeuroDimension. (2005). Multilayer Perceptron. Retrieved August 18, 2005, from <http://www.nd.com/definitions/mlp.htm>.

O'Leary, A. (1990). Stress, emotion and human immune function. *Psychological Bulletin*, 108, 363-382.

Pantic, M., & Rothkrantz, L. (2004). Case-based reasoning for user profiled recognition of emotion from face images. *Journal of IEEE*, 391-394. Retrieved June 26, 2005, from IEEE Explorer.

Saran, C. (2003). Letting your computer know how you feel. Retrieved July 16, 2005, from http://www.computerweekly.com/Articles/2003/06/19/195402/Letting_yourcomputerknowhowyoufeel.htm.

Sarle, W. S. (1994). Neural Network FAQ, part 1 of 7: Introduction. *Periodic posting to the Usenet newsgroup comp.ai.neural-nets*. Retrieved July 18, 2005, from <ftp://ftp.sas.com/pub/neural/FAQ.html>.

Seyadarabi, H., Aghagolzadeh, A., & Khanmohammadi, S. (2004). Recognition of six basis facial expressions by feature-points tracking using RBF neural network and fuzzy inference system. *IEEE international conference on multimedia and expo (ICME)*, 1219-1222. Retrieved June 26, 2005, from IEEE Explorer.

Simon, H. (1979). *Motivational and emotional control of cognition*. Model of Thought, 29-38, Yale University Press.

Siraj, F., Aziz, A. A., Sainin, M. S., & Hassin, M. H. M. (2004). The design of emotion detection system to regulate human-agent interaction.

SPSS (1997). *Neural connection 2.0: User guide*. United States of America: SPSS Inc.

The MathWorks (2005). *Image processing toolbox for use with MATLAB*. Natick, MA: The MathWorks Inc.

Tsoukalas, L. H. & Uhrig, R. E. (1997). Hypermedia Integration of Information Resources for Plant Operation. *Proceedings of the 1997 International Conference on Intelligent Systems & Semiotics: A Learning Perspective (ISAS '97)*, 434-439. Gaithersburg, MD, USA.

Yoneyama, M., Iwano, Y., Ohtake, A., & Shirai, K. (1997). Facial expressions recognition using discrete hopfield neural networks. *Journal of IEEE*, 117-120. Retrieved July 6, 2005, from IEEE Explorer.

Yoshitomi, Y., Miyawaki, N. Tomita, S., & Kimura, S. (1997). Facial expression recognition using thermal image processing and neural network. *IEEE international workshop on robot and human communication*, 380-385. Retrieved August 16, 2005, from IEEE Explorer.