

# Comparative study on the performance of different TCP flavors

# A thesis submitted to the Faculty of Information Technology in partial fulfillment of the requirement for the degree Master of Science (Information Technology) Universiti Utara Malaysia

By

ABDULAZIZ JAMA OMAR ABDI



### KOLEJ SASTERA DAN SAINS (College of Arts and Sciences) Universiti Utara Malaysia

# PERAKUAN KERJA KERTAS PROJEK (Certificate of Project Paper)

Saya, yang bertandatangan, memperakukan bahawa (I, the undersigned, certify that)

### ABDULAZIZ JAMA OMAR (800261)

calon untuk Ijazah (candidate for the degree of) MSc. (Information Technology)

telah mengemukakan kertas projek yang bertajuk (has presented his/her project paper of the following title)

## COMPARATIVE STUDY ON THE PERFORMANCE OF DIFFERENT TCP FLAVORS

seperti yang tercatat di muka surat tajuk dan kulit kertas projek (as it appears on the title page and front cover of project paper)

bahawa kertas projek tersebut boleh diterima dari segi bentuk serta kandungan dan meliputi bidang ilmu dengan memuaskan.

(that the project paper acceptable in form and content, and that a satisfactory knowledge of the field is covered by the project paper).

Nama Penyelia Utama (Name of Main Supervis	or): <b>DI</b>	R. OSMAN BIN GHAZALI
Tandatangan (Signature)	: _	Swarlmarah.
Tarikh	:	28th May 2009

### PERMISSION TO USE

In presenting this thesis in partial fulfillment of the requirements for a Master of Science in IT degree from University Utara Malaysia, I agree that the University Library may make it freely available for inspection. I further agree that permission for copying of this thesis in any manner, in whole or in part, for scholarly purpose may be granted by my supervisor or, in their absence by the Academic Dean College of Arts and Sciences. It is understood that any copying or publication or use of this thesis or parts thereof for financial gain shall not be allowed without my written permission. It is also understood that due recognition shall be given to me and to University Utara Malaysia for any scholarly use which may be made of any material from my thesis.

Requests for permission to copy or to make other use of materials in this thesis, in whole or in part, should be addressed to

Dean (Academic) College of Art and Sciences

University Utara Malaysia

06010 UUM Sintok

Kedah Darul Aman.

### ABSTRACT

Indeed the Transmission Control Protocol (TCP) is the main transport layer protocol for the end-to-end control that helps the creation of information communication. Most of today's Internet applications depend on the Performance TCP simply because the most frequently used networks by today are the TCP/IP networks. TCP was originally created to handle the problem of network congestion collapse. In this research project, we had investigated the performance of four TCP variants namely Reno, Vegas, NewReno and SACK based on two performance measures: The Bandwidth (effective throughput) and fairness. The network topology is simple wired network and it will be configured into different scenarios to maximize the chances of achieving the desired goal. Simulation methodology is used in this study. The simulation tool or software that was used as an investigation environment is the popular NS-2 simulator. The objective was to investigate and find out the performance of TCP variants according to the bandwidth and fairness in a simple dumbbell wired network, in a hope to observe a better performance. However, the results are daunting, TCP Reno is the most aggressive (least fair one), and highest amount of throughput. In the case of TCP NewReno it follows Reno's steps by becoming the second most aggressive (second least fair), and second highest throughput. SACK (Sack1) is fair to Reno and NewReno, but when it is competing with Vegas, it shows that it is very unfair. Finally Vegas shows the highest degree of fairness (least aggressive) and as well Vegas produces the lowest amount throughput.

Keyword: TCP Reno, TCP Vegas, TCP Westwood, SACK, NS-2, Throughput, Fairness

### **ACKNOWLEDGEMENTS**

First and for most, my solemn gratitude and sincere appreciation goes to the Almighty Creator, the giver of life, health, wisdom and knowledge, for blessing me with the gift of life. Secondly, my profound gratitude goes to my supervisor Dr. Osman B Ghazali for his helpful guidance, brotherly encouragement and most importantly giving me the inspiration during the course of this study.

I am always grateful to all members of my family, first being my Mother. I am thanking her for every prayer and supplication she made for me and as well for her love and most importantly being my mother. I, also would like to express my thanks to my brother *Ahmed Jama Omar*, for his financial support and as well taking care of me while I was student in overseas, both in Sudan and Malaysia. Let me say brother "what you have done for me, no one has done for me".

I would like to express here also my profound appreciation for all the staff and academicians in UUM for sharing their knowledge and experiences. Particular thanks to all the lecturers who taught while I was in UUM, first being Assoc. Prof Fadzilah Siraj, Dr. Osman B Ghazali and Assoc. Professor Norshuhada Shiratuddin.

As well, I am here by to express a big thank you to Mr. Khuzairi bin Mohd Zaini for being my evaluator and pinpointing the shortcomings of the report and then providing useful comments and suggestions.

I would like to conclude my acknowledgment, by once again thanking the Almighty God.

Abdulaziz Jama Omar,

14<sup>th</sup> May, 2009.

### TABLE OF CONTENTS

TERMISSION TO US	£	***************************************	I
ABSTRACT			11
ACKNOWLEDGEME	ENT		III
TABLE OF CONTEN	Т		IV
LIST OF TABLES	•••••		VII
LIST OF FIGURES	•••••		VIII
LIST OF ABBREVIA	TIONS	•	IX
CHAPTER ONE			1
1.0 INTRODUCTI	ON		1
1.1 <i>I</i>	NTROL	OUCTION	1
		MENT OF THE PROBLEM	
1.3 <b>P</b>	URPOS	SE OF THE STUDY	5
1.4 <b>0</b>	BJECT	TIVES	6
		CT SCOPE	
		RY	
2.0 REVIEW OF	THE LI	TERATURE	9
2.1 <i>II</i>	NTROL	OUCTION	9
2.2 T	RANSI	MISSION CONTROL PROTOCOL(TCP)	9
	2.2.1	PROTOCOL OPERATION	
	2.2.2	CONGESTION CONTROL	11
	2.2.3	QUALITY OF SERVICE IN THE INTERNET	13
2.3 <i>C</i>	<i>URRE</i>	NT STATUS OF TCP	
2.4 T	CP VA	RIANTS:	15
	2.4.1	TCP-RENO	15
	2.4.2	TCP-NEWRENO	16
	2.4.3	TCP-VEGAS	17
	2.4.4	TCP WESTWOOD	18
	2.4.5	TCP-SACK	16
2.5 <b>F</b>	<i>TP</i>		20
2.6 N	ETWO	RK SIMULATOR VERSION TWO (NS-2)	20
2.7 S	<i>UMMA</i>	RY	23

### CHAPTER THREE.

3.0 METHODOLOGY	24
3.1 INTROU	DCTION24
3.2 <b>PERFOR</b>	RMANCE MODELLING OF COMPUTER
NETWO	RKS24
3.2.1	ANALYTICAL MODELING25
3.2.2	MEASUREMENT MODELING26
3.2.3	SIMULATION MODELLING27
3.3 <b>SYSTEM</b>	ATIC SIMULATION STEPS28
3.4 SIMULA	TION TOPOLOGIES29
3.4.1	TOPOLOGY ONE: RENO VERSUS VEGAS29
3.4.2	TOPOLOGY TWO: RENO VERSUS NEWRENO29
3.4.3	TOPOLOGY THREE: RENO VERSUS SACK130
3.4.4	TOPOLOGY FOUR: VEGAS VERSUS NEWRENO30
3.4.5	TOPOLOGY FIVE: VEGAS VERSUS SACK131
3.4.6	TOPOLOGY SIX: NEWRENO VERSUS SACK131
3.5 <i>MODEL</i>	CONSTRUCTION AND PARAMETER SETTING32
3.6 <i>SUMMA</i>	RY36
CHAPTER FOUR	37
4.0 SIMULATION RESUL	TS37
4.1 <i>INTROD</i>	UCTION37
4.2 <i>FIRST S</i>	CENARIO OF THE SIMULATED TOPOLOGY37
A 2 SECOND	SCENARIO OF THE SIMULATED TOPOLOGY43
4.5 SECOND	SCENARIO OF THE SIMULATED TOPOLOGI45
4.4 THIRD S	CENARIO OF THE SIMULATED TOPOLOGY45
4.5 FOURTH	SCENARIO OF THE SIMULATED TOPOLOGY47
4.6 <i>FIFTH S</i>	CENARIO OF THE SIMULATED TOPOLOGY49
4.7 <b>SIXTH S</b>	CENARIO OF THE SIMULATED TOPOLOGY51
4.8 <i>SUMMA</i>	RY53

CHAPTER FIVE	54
5.0 DISCUSSION AND CONCLUSION	54
5.1 <i>CONCLUSION</i>	54
5.2 FINDINGS	54
5.3 RESEARCH CONTRIBUTION	55
5.4 RECOMMENDATION AND FUTURE WORKS	56
REFERENCES	58
APPENDIX A: NS-2 CODE	61
1.0 TCL scripts	61
2.0 Perl scripts	64
APPENDIX B:GNUPLOT	66

### LIST OF TABLES

Table3.1: Fixed parameter setting	31
Table 4.1: TCP Fairness Characterization	36
Table 4.2Throughput and Fairness in First Simulated Topology	42
Table 4.3Throughput and Fairness in Second Simulated Topology	44
Table 4.4Throughput and Fairness in Third Simulated Topology	46
Table 4.5Throughput and Fairness in Fourth Simulated Topology	48
Table 4.6Throughput and Fairness in Fifth Simulated Topology	50
Table 4.7Throughput and Fairness in Sixth Simulated Topology	60

### **LIST OF FIGURES**

Title	ages
Figure 1.1: Comparison of the TCP/IP Model and OSI Model	2
Figure 2.2: TCP Segment format	10
Figure 2.3: The TCP Congestion Window	11
Figure 2.4: Different TCP variant	16
Figure 2.5: Tcl(OTcl) and C++ Coexistence	19
Figure 3.1: Steps of systematic simulation	26
Figure 3.2: Reno against Vegas	27
Figure 3.3: Reno against NewReno	28
Figure 3.4: Reno against SACK1	28
Figure 3.5: Vegas against NewReno	29
Figure 3.7: NewReno against SACK1	30
Figure 3.8 General Topology of the Model	31
Figure 3.9: Nam in action.	33
Figure 4.1 Throughput Comparisons of TCP Reno and TCP Vegas	38
Figure 4.2 Throughput Comparisons of TCP Reno and TCP NewReno	39
Figure 4.3 Throughput Comparisons of TCP Reno and TCP Sack1	41
Figure 4.4 Throughput Comparisons of TCP Vegas and TCP NewReno	42
Figure 4.5 Throughput Comparisons of TCP Vegas and TCP Sack1	44
Figure 4.6 Throughput Comparisons of TCP Newreno and TCP Sack1	45
Figure 5.1: Eight Scenario of the topology containing of all the four flavors	49

### LIST OF ABBREVIATIONS

TCP/IP Transport Control Protocol/ Internet Protocol

TCP Transport Control Protocol

MMS Maximum Segment Size

**DUPACK** Duplicate Acknowledgment

ACK Acknowledgment

RTT Round Trip Time

Cwdn slow-start threshold

OSI Open Systems Interconnection

**DoD** Department of Defense

**Rwnd** Receiver Advertised Window

NS-2 Network Simulator 2

### LIST OF EQUATIONS

Equation		Page
cwnd = cwnd + SMSS * S	MSS/cwnd	11
Fx = Fav / Fb		36

### **CHAPTER 1**

### INTRODUCTION

### 1.1 Introduction

With out doubt the Transmission Control Protocol (TCP) is the most frequently used transport protocol on the Internet [1]. Therefore understanding the performance of this protocol is an important issue in the areas of computer networking and telecommunications. TCP is a part of the TCP/IP internet protocol suite with two other protocols, namely UDP and SCTP. The TCP/IP protocol suite was developed before the OSI model was even available. As a consequence, it does not make use of the OSI as a reference mode. TCP/IP was created by using the Department of Defense (DoD) model as a base reference. Understanding how OSI model works and getting familiar with it is an essential matter, despite the fact that, because OSI is used to compare the TCP/IP suite with other protocol suites. Unlike the OSI model, the DoD reference model or commonly known as TCP/IP has four layers. Figure 1 shows the comparison between the two models. The four layers of the DoD model are [4]:

OSI Model	DoD or TCP/IP Model
Application layer	Application layer
Presentation layer	
Session layer	
Transport layer	Transport layer
Network layer	Internet layer
Data-Link layer	Network Interface layer
Physical layer	

Figure 1.1: Comparison of the TCP/IP Model and OSI Model [4]

# The contents of the thesis is for internal user only

### REFERENCES

- [1] H. ELAARAG, "Improving TCP Performance over Mobile Networks", ACM Computing Surveys, Vol. 34, No. 3, p. 357–374, 2002.
- [2] D.E. Commer, *Internetworking TCP/IP: Principles, Protocols, and Architecture*, Upper saddle, New Jersey: Prentice Hall, 2006.
- [3] M. Ghaderi "TCP-Aware Resource Allocation in CDMA Networks" In Proc. ACM *MobiCom*, Los Angeles, USA. 2006
- [4] G. Adrew, TCP/IP JumpStart: Internet Protocol Basics. Alameda, CA, USA: Sybex, Incorporated, 2002
- [5] M. Hassan, *High Performance TCP/IP Networking: Concepts, Issues, and Solutions*, Upper saddle, New Jersey: Prentice Hall, 2004.
- [6] TCP WESTWOOD Home available at: <a href="http://www.cs.ucla.edu/NRL/hpi/tcpw/">http://www.cs.ucla.edu/NRL/hpi/tcpw/</a>
- [7] L. A. Grieco and S. Mascolo "Performance Evaluation of Westwood+ TCP Congestion Control", 2004.
- [8] W. Tomasi. *Introduction to Data Communications and Networkin*. Upper Saddle River, New Jersey, Ohio: Pearson Prentice Hall, 2005.
- [9] A. H. Shabhli, S. Hassan and O. Ghazali, Layered Multicast: Performance Study of Round Trip Time Estimation for TCP-equation Model, Kedah, Malaysia: UUM, 2007.
- [10] The Network Simulator NS-2 available at: <a href="http://isi.edu/nsnam/ns/">http://isi.edu/nsnam/ns/</a>,[Accessed: 5<sup>th</sup> Janaury,2009].
- [11] J.Chung and M.Claypool "NS by Example" available at: http://nile.wpi.edu/NS/, 2009.
- [12] S. Low, L. Peterson, and L. Wang, "Understanding TCP Vegas: Theory and Practice" University of Melbourne, Australia, 2000.
- [13]R. La, J. Walrand, and V. Anantharam "Issues in TCP Vegas" Department of Electrical Engineering and Computer Sciences, University of California at Berkeley, USA.
- [14] J. Mo, R.J. La, V. Anantharam, and J. Walrand, "Analysis and Comparison of TCP Reno and Vegas" Department of Electrical Engineering and Computer Sciences, University of California at Berkeley, USA.
- [15] M.Mathis, J.Mahdavi, S.Floyd and A.Romanow "TCP Selective Acknowledgment Options (SACK)" available at: <a href="http://www.opalsoft.net/qos/TCP-90.htm">http://www.opalsoft.net/qos/TCP-90.htm</a>
- [16] Yee's Homepage of TCP/IP "TCP variants" available at <a href="http://www.hep.ucl.ac.uk/~ytl/tcpip/background/tahoe-reno.html">http://www.hep.ucl.ac.uk/~ytl/tcpip/background/tahoe-reno.html</a> [Accessed: 7<sup>th</sup> Janaury, 2009].

- [17] F. Anjum "Comparative Study of Various TCP Versions over a Wireless Link with Correlated Losses" IEEE/ACM Transactions on Networking Vol.11, No, 3, 3003.
- [18] K. Fall and S. Floyd. "Simulation-based comparisons of Tahoe, Reno, and SACK TCP". ACM Computer Communication Re-view, July 1996.
- [19]K. Thompson, G. J. Miller, and R. Wilder. "Wide-area internet patterns and characteristics". IEEE Network, 11(6):10 23, November/December 1999
- [20] J. Postel. "Transmission control protocol". IETF RFC 793 Standard, 1981.
- [21] R. Braden. "A requirement for internet hosts -communication layers". IETF RFC 1122,
- [22] M. Allman, V. Paxson, and W. Stevens. "TCP congestion control". IETF RFC 2581, 1999.
- [23] S. Floyd et.al "The NewReno Modification to TCP's Fast Recovery Algorithm", RFC 3782, 2004.
- [24] O. Riva, Analysis of Internet Transport Service Performance with Active Queue Management in a QoS-enabled network, A PhD Thesis, University of Helsinki, 2003.
- [25] J. Postel. "Transmission Control Protocol", RFC 793, September 1981.
- [26] B. A. Forouzan. TCP/IP Protocol Suite. Boston, McGraw Hill, 2006.
- [27] Huston G., Internet Performance Survival Guide: OoS Strategies for Multiservice Networks, New York, John Willey and Sons, 2000.
- [28] J. Kurhonen. *Introduction to 3G Mobile Communications*. Artech House, Boston, USA, 2nd Edition, 2003.
- [29] S. Floyd et al. "The NewReno Modification to TCP's Fast Recovery Algorithm", RFC3782, 2004.
- [30] J. Manner and M. Kojo, "Mobility Related Terminology", RFC3753, 2004.
- [31] S.Floyd et al. "Quick-Start for TCP and IP", RFC 4782, 2007.
- [31] Webopedia, "FTP Definition" available at: <a href="http://www.webopedia.com/TERM/F/FTP.html">http://www.webopedia.com/TERM/F/FTP.html</a> [accessed 17th May 2009]
- [32] Wiki "TFP Definition" <a href="http://en.wikipedia.org/wiki/File\_Transfer\_Protocol" [Accessed 17th May 2009]</a>
- [33] Network Simulator (NS-2) web site: http://www-mash.cs.berkeley.edu/ns
- [34] Opnet Technologies Inc. web site: http://www.optnet.com

- [35]M. Loetscher, Simulative Performance Optimization for TCP over UMTS, PhD thesis at the Institut für Technische Informatik und Kommunikationsnetze, Germnay, 2003.
- [36] The Network Simulator (NS-2) January 6, 2009.
- [37] O.Ghazali, Scaleable and smooth TCP-friendly receiver-based layered multicast protocol. PhD Thesis, College of Arts and Science, UUM, 2008
- [39]B. Qureshi, M. Othman, and N. A. W. Hamid, "Progress in Various TCP Variants (February 2009)", Faculty of IT, UPM, Malaysia
- [40] In Encyclopedia of Computer Science. "Definition of SIMULATION." Hoboken, NJ: Wiley. Available at: <a href="http://www.credoreference.com/entry/encyccs/simulation">http://www.credoreference.com/entry/encyccs/simulation</a> [Accessed May 17, 2009]
- [41] Ghazali, O. and S. Hassan. "TCP-friendly Layered Multicast Protocol for Multimedia Streaming" in the Proceedings of ICON 2005, Kuala Lumpur.
- [43] Starting Point Geosciences, "Analytical Models" available at:
  <a href="http://serc.carleton.edu/introgeo/mathstatmodels/Analytical.html">http://serc.carleton.edu/introgeo/mathstatmodels/Analytical.html</a>"
  [accessed 18th May 2009]
- [44] The Blackwell Dictionary of Sociology, "Definition of Experiment" Publishers, 2000. Credo Reference. Available at: <a href="http://www.credoreference.com/entry/bksoc/experiment">http://www.credoreference.com/entry/bksoc/experiment</a> [accessed 18th May 2009]
- [45] S.Hassan, Simulation-based Performance Evaluation of TCP-friendly Protocols for Supporting Multimedia Applications in the Internet. PhD thesis, School of Computing, University of Leeds, 2003.
- [46] P. Wainwright, et al. Professional Perl Programming, Birmingham, UK: Wrox Press, 2001.
- [47]Trace record Examples of Performance Analysis using NS:
  <a href="http://www.mathcs.emory.edu/~cheung/Courses/558/Syllabus/05-TCP-Sim/Obsolete/PerfAnal.html">http://www.mathcs.emory.edu/~cheung/Courses/558/Syllabus/05-TCP-Sim/Obsolete/PerfAnal.html</a>
- [48]J.Olsen, Stochastic modeling and simulation of the TCP protocol, PhD thesis, Department of Mathematics Uppsala University.