

**AN INNOVATIVE SIGNAL DETECTION ALGORITHM IN
FACILITATING THE COGNITIVE RADIO FUNCTIONALITY
FOR WIRELESS REGIONAL AREA NETWORK USING
SINGULAR VALUE DECOMPOSITION**

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**DOCTOR OF PHILOSOPHY
UNIVERSITI UTARA MALAYSIA**

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Abstrak

Tesis ini memperkenalkan algoritma pengesan isyarat yang inovatif dalam memudahkan fungsi radio kognitif untuk piawaian IEEE 802.22 Rangkaian Tanpa Wayar Kawasan Serantau (WRAN) yang baharu. Ia adalah sejenis pengesan isyarat yang berdasarkan teknik Penguraian Nilai Singular (SVD) yang menggunakan nilai eigen daripada isyarat yang diterima. Penyelidikan ini bermula dengan sorotan terhadap kaedah pengesanan spektrum semasa yang mana penyelidikan ini telah mengklasifikasikan kepada pengesan isyarat tertentu, separa kenal atau tak kenal. Salah satu pengesan isyarat tak kenal dikenali sebagai pengesan berasaskan nilai eigen telah didapati merupakan sejenis pengesan yang paling diminati kerana keupayaannya dalam pengesan, masa pelaksanaan, dan sifar-keutamaan pengetahuan. Algoritma pengesan telah dibangunkan secara analitis dengan menggunakan Teori Pengesan Isyarat (SDT) dan Teori Matrik Rawak (RMT). Ia kemudiannya disimulasikan menggunakan perisian Matlab® bagi menguji prestasi dan dibandingkan dengan pengesan isyarat yang juga berasaskan nilai eigen. Walaupun, terdapat beberapa teknik dalam mencari nilai eigen, kajian ini telah mempertimbangkan dua teknik yang dikenali sebagai penguraian nilai eigen (EVD) dan SVD. Penyelidikan ini telah menguji algoritma yang dibangunkan dengan isyarat yang dijana secara rawak, isyarat simulasi daripada piawaian *Digital Video Broadcasting-Terrestrial* (DVB-T) dan isyarat sebenar televisyen digital yang diukur berdasarkan piawaian *Advanced Television Systems Committee* (ATSC). Pengesan isyarat berasaskan SVD telah didapati lebih cekap dalam mengesan isyarat tanpa mengetahui ciri-ciri isyarat yang diantar. Algoritma ini sesuai untuk mengesan spektrum dalam klasifikasi tak kenal di mana ciri-ciri isyarat yang hendak dikesan tidak diketahui. Ini juga merupakan kelebihan algoritma ini kerana apa-apa isyarat lain akan mengganggu dan seterusnya menjelaskan kualiti perkhidmatan (QoS) dalam perhubungan piawaian IEEE 802.22. Selain itu, algoritma ini juga menunjukkan prestasi lebih baik dalam persekitaran nisbah isyarat-kepada-hingar (SNR) yang rendah. Untuk menggunakan algoritma tersebut dengan berkesan, pengguna perlu memberikan keutamaan di antara ketepatan pengesan dan masa pelaksanaan. Daripada dapatan kajian, didapati bahawa bilangan sampel yang lebih tinggi akan memberikan pengesan yang lebih tepat, tetapi akan mengambil masa yang lebih lama. Namun, bilangan sampel yang sedikit akan menyebabkan pengesan yang kurang tepat, tetapi masa pelaksanaan akan lebih cepat. Sumbangan tesis ini akan membantu kumpulan kerja piawaian IEEE 802.22, badan-badan yang mengawal selia penggunaan spektrum, operator rangkaian dan pengguna akhir dalam membawa akses jalur lebar ke kawasan luar bandar.

Kata kunci: radio kognitif, rangkaian tanpa wayar kawasan serantau, pengesan isyarat, televisyen digital, penguraian nilai singular, nilai eigen.

Abstract

This thesis introduces an innovative signal detector algorithm in facilitating the cognitive radio functionality for the new IEEE 802.22 Wireless Regional Area Networks (WRAN) standard. It is a signal detector based on a Singular Value Decomposition (SVD) technique that utilizes the eigenvalue of a received signal. The research started with a review of the current spectrum sensing methods which the research classifies as the specific, semiblind or blind signal detector. A blind signal detector, which is known as eigenvalue based detection, was found to be the most desired detector for its detection capabilities, time of execution, and zero a-priori knowledge. The detection algorithm was developed analytically by applying the Signal Detection Theory (SDT) and the Random Matrix Theory (RMT). It was then simulated using Matlab® to test its performance and compared with similar eigenvalue based signal detector. There are several techniques in finding eigenvalues. However, this research considered two techniques known as eigenvalue decomposition (EVD) and SVD. The research tested the algorithm with a randomly generated signal, simulated Digital Video Broadcasting-Terrestrial (DVB-T) standard and real captured digital television signals based on the Advanced Television Systems Committee (ATSC) standard. The SVD based signal detector was found to be more efficient in detecting signals without knowing the properties of the transmitted signal. The algorithm is suitable for the blind spectrum sensing where the properties of the signal to be detected are unknown. This is also the advantage of the algorithm since any signal would interfere and subsequently affect the quality of service (QoS) of the IEEE 802.22 connection. Furthermore, the algorithm performed better in the low signal-to-noise ratio (SNR) environment. In order to use the algorithm effectively, users need to balance between detection accuracy and execution time. It was found that a higher number of samples would lead to more accurate detection, but will take longer time. In contrary, fewer numbers of samples used would result in less accuracy, but faster execution time. The contributions of this thesis are expected to assist the IEEE 802.22 Standard Working Group, regulatory bodies, network operators and end-users in bringing broadband access to the rural areas.

Keywords: cognitive radio, wireless regional area networks, signal detector, digital television, singular value decomposition, eigenvalue.

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Table of Contents

Permission to Use	i
Abstrak	ii
Abstract	iii
Acknowledgement	iv
Table of Contents	vi
List of Tables	xi
List of Figures	xii
List of Abbreviations	xiv
CHAPTER ONE INTRODUCTION	1
1.1 Current Spectrum Usage	1
1.2 Research Motivation	6
1.2.1 International Telecommunication Union Initiatives	7
1.2.2 Institute of Electrical and Electronics Engineers Initiatives	8
1.3 Problem Statement	9
1.4 Research Questions	10
1.5 Research Objectives	10
1.6 Research Scope	11
1.7 Research Steps	12
1.8 Research Contributions	13
1.9 Organization of the Thesis	14
CHAPTER TWO LITERATURE REVIEW	16
2.1 Background	16
2.1.1 IEEE 802.22 Wireless Regional Area Network	17
2.1.2 System Architecture	18
2.1.3 Coverage Area	18
2.1.4 System Capability	20
2.2 Cognitive Radio	21
2.2.1 CR Functional Components	23
2.2.2 The Cognition Cycle	25
2.2.3 Software Defined Radio	26

2.3	Spectrum Sensing	27
2.3.1	Challenges and Requirements	30
2.3.2	Sensing Techniques	32
2.3.3	General Signal Detection Model	34
2.4	Signal Detection Theory	35
2.4.1	SDT Model	37
2.4.2	SDT Threshold	38
2.5	Type of Signal Detectors	41
2.5.1	Specific Signal Detector	41
2.5.1.1	Matched Filter	41
2.5.1.2	Cyclostationary-Based Detector	43
2.5.2	Semiblind Signal Detector	46
2.5.2.1	Likelihood Ratio Detector	46
2.5.2.2	Wavelet-Based Detector	48
2.5.3	Blind Detector	50
2.5.3.1	Energy Detector	50
2.5.3.2	Eigenvalue-Based Detector	53
2.5.3.3	Covariance-Based Detector	54
2.5.3.4	Blindly Combined Energy Detector	55
2.5.4	Comparison of Signal Detection Techniques	55
2.6	Related Works	56
2.7	Singular Value Decomposition Based Detector	61
2.8	Summary	63
CHAPTER THREE RESEARCH METHODOLOGY	65	
3.1	Research Approach	65
3.2	Signal Detection Algorithm Development	67
3.2.1	Typical Wireless Communications System	67
3.2.2	Signal Detection Model	69
3.2.3	Digitally Modulated and Over-Sampled Signal	70
3.3	Performance Evaluation	72
3.3.1	Evaluation Approach Consideration	73
3.3.2	Analytical Modeling	74
3.3.3	Simulation	76

3.4	Performance Metrics	77
3.4.1	Classifier Performance	77
3.4.2	Receiver Operating Characteristics	78
3.4.3	Expected Performance	79
3.4.4	Computational Complexity	81
3.4.5	Signal-to-Noise Ratio	81
3.5	Validation and Verification	82
3.5.1	Digital Television Signal	83
3.5.2	Captured DTV Signal	84
3.6	Summary	85
CHAPTER FOUR SVD-BASED SIGNAL DETECTOR		86
4.1	Eigenvalue-Based Signal Detector	87
4.1.1	Analyzing Received Signals using Covariance Matrix	87
4.1.2	Threshold Definition	91
4.1.2.1	MME Threshold	91
4.1.2.2	EME Threshold	93
4.1.3	EVD-Based Signal Detector Performance	94
4.2	SVD-Based Signal Detector Algorithm	98
4.2.1	Finding the Eigenvalues	98
4.2.2	Threshold Used	99
4.2.3	SVDSVD Process Flow	100
4.3	SVDSVD Algorithm Performance Evaluation	102
4.3.1	Probability of Detection	103
4.3.2	Receiver Operating Characteristics	105
4.3.3	Expected Performance	105
4.3.4	Robustness	107
4.3.5	Computational Complexity	108
4.4	Summary	109
CHAPTER FIVE SIMULATED DTV SIGNAL DETECTION		112
5.1	Signal Detection Process	112
5.2	DVB-T Signal Test	115
5.2.1	Orthogonal Frequency-Division Multiplexing	119

5.2.2	DVB-T Signal Generation	122
5.2.3	DVB-T Generated Signal	123
5.2.4	Additive White Gaussian Noise	125
5.3	DVB-T Signal Test Results	126
5.3.1	DVB-T Detectability	126
5.3.2	Receiver Operating Characteristic	127
5.3.3	Expected Performance	128
5.3.4	Computational Complexity	128
5.4	DVB-T Test Discussion	129
5.5	Summary	130
CHAPTER SIX REAL DTV SIGNAL DETECTION		131
6.1	Captured ATSC Signal Test	131
6.2	Signal Extraction	132
6.3	Captured DTV Signal Test Results	132
6.3.1	Real DTV Detectability	134
6.3.2	Receiver Operating Characteristic	134
6.3.3	Expected Performance	137
6.3.4	Computational Complexity	137
6.4	Captured ATSC Test Discussion	138
6.5	SVDSD Algorithm Analysis	139
6.5.1	Smoothing Factors	139
6.5.2	Number of Samples	141
6.5.3	Computational Complexity	141
6.6	Discussion on SVDSD Analysis	144
6.7	Summary	144
CHAPTER SEVEN CONCLUSION AND FUTURE WORKS		146
7.1	Research Importance	146
7.1.1	IEEE 802.22 WRAN Standard	147
7.1.2	Regulatory Bodies	147
7.1.3	License Holder or Operator	149
7.1.4	End-Users	149
7.2	Conclusion	150

7.2.1	Detectability	150
7.2.2	Algorithm Performance	151
7.2.3	Smoothing Factor	152
7.2.4	Number of Samples	153
7.3	Research Contributions	153
7.3.1	Signal Detection Algorithm	154
7.3.2	Empirical Performance Evaluation	154
7.3.3	Optimum Settings	155
7.4	Future Works	156
7.4.1	Multiple-Receiver Signal Detection	157
7.4.2	Cooperative Sensing	157
7.4.3	Joint PHY/MAC Layer Sensing	157
7.5	Summary	158
REFERENCES		160
APPENDIX A		173
APPENDIX B		176

List of Tables

2.1	IEEE 802.22 Standard Characteristics	21
2.2	The Four Possible Types of Response in SDT (adopted from [1])	36
2.3	The Probability or the Four Types of Response According to Figure 2.8 (adopted from [1])	40
2.4	Signal Detection Methods Comparisons (adopted from [2])	56
2.6	Summary of Eigenvalue-Based Signal Detector	57
3.1	Comparison of Performance Evaluation Techniques (adopted from [3])	74
3.2	DVB-T specification (adopted from [4])	84
4.1	Parameter for Generating Common Signals	103
4.2	Area Under the Curve	107
5.1	Description of DVB-T Transmission System Processing Blocks.....	117
5.4	Numerical Values for the OFDM Parameters for the 2k Mode (adopted from [5])	121
5.5	Expected Performance of SVD and EVD	128
5.6	Average Time Taken to Execute the Algorithm	129
6.1	Summary of Captured Signal Characteristics	132
6.2	Expected Performance for Captured Signals	137
6.3	Execution Time for Four Sets of Real Data	137

List of Figures

1.1	Malaysian Spectrum Allocation Chart	3
1.2	Spectrum Occupancy for Johor Bahru, Kuala Lumpur, Penang and Alor Setar	5
1.3	Considered Scenarios for Signal Detection	12
2.1	IEEE 802.22 Architecture (adopted from [6])	19
2.2	Comparison of IEEE 802 LAN/MAN Family	20
2.3	Minimal Cognitive Radio Architecture (adopted from [7])	23
2.4	The Cognition Cycle (adopted from [7, 8])	25
2.5	A Taxonomy of Dynamic Spectrum Access (adopted from [9])	28
2.6	Interference Temperature Model (adopted from [10])	31
2.7	Spectrum Opportunity Concept (adopted from [10])	32
2.8	SDT Model (adopted from [1])	38
2.9	Digital Implementation of a Coherent Pilot Detector (adopted from [11])	43
2.10	Digital Implementation of a Cyclostationary Detector (adopted from [11])	44
2.11	Digital Implementation of a Wavelet Detector (adopted from [11])	49
2.12	Block Diagram of the Basic Energy Detector (adopted from [11])	51
2.13	Flowchart of the Maximum-Minimum Eigenvalue (MME) Detection	54
3.1	Research Approach	66
3.2	Analytically Tractable Communications System (adopted from [12])	68
3.3	Performance Evaluation Techniques (adapted from [13, 14, 15])	72
3.4	Modeling Process (adopted from [16])	75
3.5	Confusion Matrix and Common Performance Metrics (adopted from [17])	78
3.6	ROC Graphs and Area Under ROC Curves (adopted from [18])	80
4.1	Main Components of Signal Detection Method	86
4.2	Probability of Detection: $M = 4, P = 2, L = 8$ (adopted from [19])	95
4.3	Probability of Detection: $M = 4, P = 2, L = 8, \text{SNR} = -20 \text{ dB}$ (adopted from [19])	96

4.4	Probability of Detection for DTV Signal NYC/205/44/01 (adopted from [19])	97
4.5	SVD-SD Process Flow	101
4.6	Comparison of SVD and EVD Techniques for Common Signals	104
4.7	Comparisons of ROC Curves of SVD and EVD with Different SNR	106
4.8	Probability of Detection for Varied Smoothing Factors and SNRs	108
4.9	Execution Time for SVD and EVD	109
5.1	Signal Detection Process	113
5.2	DVB-T Transmission System Scheme	116
5.3	OFDM Signal Generation	123
5.4	Snapshot of Generated Signal $s(t)$	124
5.5	Snapshot of Generated Signal Plus AWGN at SNR = -8 dB	125
5.6	DVB-T Detectability for SVD and EVD	126
5.7	ROC Curves of SVD and EVD	127
6.1	Snapshot of Raw Signals Extracted from the Dedicated Files	133
6.2	Detectability Test for Four Real Data Samples	135
6.3	ROC Curves for SVDSD and EVDSD	136
6.4	Smoothing Factors Effect with Different SNR Values	140
6.5	Detection Rate for Different Number of Samples	142
6.6	Execution Time for Different Number of Samples	143
7.1	Protocol Reference Model (PRM) of the 802.22 (a) BS and (b) CPE (adopted from [20])	148

List of Abbreviations

3G	- Third Generation Mobile Telecommunications
ACMA	- Australian Communication Media Authority
ADC	- Analog-to-Digital Converter
ATSC	- Advanced Television Systems Committee
AUC	- Area Under the Curve
AWGN	- Additive White Gaussian Noise
BARD	- Broadband Adaptive Receiver Design
BCED	- Blindly Combined Energy Detection
BERG	- Blind Equalization Research Group
BN	- Base Node
BS	- Base Station
CDF	- Cumulative Distribution Function
CN	- Cognitive Network
COFDM	- Coded Orthogonal Frequency-Division Multiplexing
CPE	- Customer-Premises Equipment
CR	- Cognitive Radio
CS	- Cosine-Sine
CSD	- Cyclostationary Based Detector
CSFAR	- Constant False Alarm Rate
DAC	- Digital-to-Analog Converter
DFS	- Dynamic Frequency Selection
DFT	- Discrete Fourier Transform
DMS	- Discrete Memoryless Source
DMT	- Discrete Multi-Tone Modulation
DS	- Downstream
DSA	- Dynamic Spectrum Access
DSL	- Digital Subscriber Line
DSP	- Digital Signal Processing
DTV	- Digital Television
DVB-T	- Digital Video Broadcasting - Terrestrial
ED	- Energy Detector
EIRP	- Effective Isotropic Radiated Power
EME	- Energy with Minimum Eigenvalue
ETSI	- European Telecommunication Standard Institute

EVD	- Eigenvalue Decomposition
EVDSD	- Eigenvalue Decomposition Signal Detector
FCC	- Federal Communication Commission
FDM	- Frequency-Division Multiplexing
FFT	- Fast Fourier Transform
FP	- False positive
FPGA	- Field-Programmable Gate Array
GLRT	- Generalized Likelihood Ratio Test
GPS	- Global Positioning System
i.i.d.	- Independent and Identically Distributed
IDFT	- Inverse Discrete Fourier Transform
IEEE	- International Electrical and Electronics Engineer
IF	- Intermediate Frequency
IFFT	- Inverse Fast Fourier Transform
IMT	- International Mobile Telecommunications
ISI	- Intersymbol Interference
ISM	- Industrial, Scientific, and Medical
ITU	- International Telecommunication Union
ITU-R	- ITU Radiocommunication Sector
LAN	- Local Area Network
LAN/MAN	- Local Area Network/Metropolitan Area Network
LU	- Lower and Upper
MAN	- Metropolitan Area Network
MCMC	- Malaysian Communication and Multimedia Commission
MF	- Matched Filter
MIMO	- Multiple Input Multiple Output
ML	- Maximum Likelihood
MME	- Maximum-Minimum Eigenvalue
NPRM	- Notice of Proposed Rulemaking
OFDM	- Orthogonal Frequency-Division Multiplexing
PAN	- Personal Area Network
P_d	- Probability of Detection
PDA	- Personal Digital Assistant
PDF	- Probability Density Function
P_{fa}	- Probability of False Alarm
P_{md}	- Probability of Miss Detection

PSD	- Power Spectral Density
PU	- Primary User
QAM	- Quadrature Amplitude Modulation
QPSK	- Quadrature Phase Shift Keying
RAN	- Regional Area Network
RF	- Radio Frequency
RMT	- Random Matrix Theory
ROC	- Receiver Operating Characteristic
RTSA	- Real Time Spectrum Analyzer
SDR	- Software-Defined Radio
SDT	- Signal Detection Theory
SG	- Study Group
SNR	- Signal-to-Noise Ratio
SU	- Secondary User
SVD	- Singular Value Decomposition
SVDSD	- Singular Value Decomposition Signal Detector
TP	- True Positive
TV	- Television
UHF	- Ultra High Frequency
UMTS	- Universal Mobile Telecommunications System
US	- Upstream
UWB	- Ultra-Wideband
VHF	- Very High Frequency
WAN	- Wide Area Network
WG	- Working Group
WiMAX	- Worldwide Interoperability for Microwave Access
WP	- Working Parties
WRAN	- Wireless Regional Area Network
WRC	- World Radiocommunication Conference

CHAPTER ONE

INTRODUCTION

This thesis is about an innovative signal detection algorithm and its performance for IEEE 802.22 Wireless Regional Area Network (WRAN) to detect licensed user signal in order to avoid harmful interference to the incumbent. The aim of this chapter is to place the thesis in its context, where general overview of the research is described briefly. This chapter begins with highlighting the current spectrum usage in the next section. Section 1.2 highlights the motivation of the research and the worldwide organizational effort to utilize spectrum resources. The problem statement is described in Section 1.3 where the current situation of spectrum resource management is being addressed. In Section 1.4, the research questions are being addressed. The objectives, scope, and steps of the research are described briefly in Section 1.5, 1.6, and 1.7 respectively. The contributions of the research are highlighted in Section 1.8, and finally, the organization of the thesis is outlined in Section 1.9.

1.1 Current Spectrum Usage

In recent years there has been a major increase of wireless applications that have been deployed, and along with more traditional services, this has placed a significant amount of pressure on sharing the available spectrum, especially in Very High Frequency (VHF) and Ultra High Frequency (UHF) bands. This is because these bands are considered as a “sweet spot” for their advantages in propagating signals and low hardware cost.

Furthermore, the radio frequency spectrum is a limited natural resource to enable wireless communication between transmitters and receivers [21]. The radio spectrum

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