

**ADAPTIVE FIREFLY ALGORITHM FOR HIERARCHICAL
TEXT CLUSTERING**

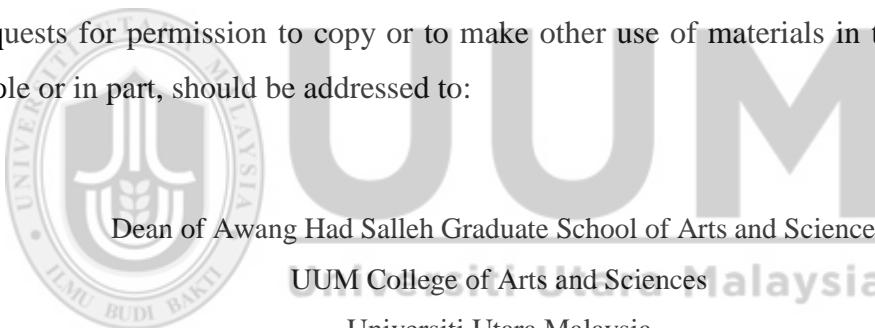


**DOCTOR OF PHILOSOPHY
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Abstrak

Penggugusan teks digunakan oleh enjin carian untuk meningkatkan recall dan precision dalam bidang capaian maklumat. Memandangkan enjin carian beroperasi menggunakan kandungan Internet yang selalu berubah, maka satu algoritma penggugusan yang menawarkan pengumpulan item secara automatik tanpa maklumat awal berkenaan koleksi berkenaan adalah diperlukan. Kaedah penggugusan sedia ada menghadapi masalah untuk menentukan bilangan gugusan yang optimal dan gugusan yang padat. Dalam penyelidikan ini, satu algoritma penggugusan teks hierarki yang adaptif telah dicadang berdasarkan algoritma Firefly. Algoritma Firefly Adaptive (AFA) yang dicadangkan mempunyai tiga komponen: penggugusan dokumen, pemberian gugusan dan penggabungan gugusan. Komponen pertama memperkenalkan algoritma Weight-based Firefly (WFA) yang berupaya untuk mengenal pasti pusat awalan dan gugusannya secara automatik bagi sesuatu koleksi teks. Bagi memperbaiki gugusan yang telah diperolehi, algoritma kedua iaitu Weight-based Firefly dengan Relocate (WFA_R) telah dicadangkan. Kaedah ini membolehkan penempatan semula dokumen yang telah ditempatkan ke dalam gugusan yang baharu terhasil. Komponen ketiga, Weight-based Firefly Algorithm dengan Relocate and Merging (WFA_{RM}), bertujuan mengurangkan bilangan gugusan yang terhasil dengan menggabungkan gugusan bukan asli ke dalam gugusan asli. Eksperimen telah dilaksanakan untuk membandingkan algoritma yang dicadangkan dengan tujuh kaedah sedia ada. Peratusan kejayaan memperolehi bilangan gugusan yang optimal oleh AFA ialah 100% dengan mendapat purity dan f-measure 83% lebih tinggi daripada kaedah penanda aras. Bagi ukuran entropy, AFA menghasilkan nilai terendah (0.78) apabila dibandingkan dengan kaedah sedia ada. Keputusan ini memberi indikasi bahawa Algoritma Firefly Adaptif boleh menghasilkan gugusan yang padat. Penyelidikan ini menyumbang kepada domain perlombongan teks memandangkan penggugusan teks hierarki membantu pengindeksan dokumen dan proses pencapaian maklumat.

Kata kunci: Perlombongan teks, Penggugusan teks hierarki, Swarm Intelligence, Firefly Algorithm

Abstract

Text clustering is essentially used by search engines to increase the recall and precision in information retrieval. As search engine operates on Internet content that is constantly being updated, there is a need for a clustering algorithm that offers automatic grouping of items without prior knowledge on the collection. Existing clustering methods have problems in determining optimal number of clusters and producing compact clusters. In this research, an adaptive hierarchical text clustering algorithm is proposed based on Firefly Algorithm. The proposed Adaptive Firefly Algorithm (AFA) consists of three components: document clustering, cluster refining, and cluster merging. The first component introduces Weight-based Firefly Algorithm (WFA) that automatically identifies initial centers and their clusters for any given text collection. In order to refine the obtained clusters, a second algorithm, termed as Weight-based Firefly Algorithm with Relocate (WFA_R), is proposed. Such an approach allows the relocation of a pre-assigned document into a newly created cluster. The third component, Weight-based Firefly Algorithm with Relocate and Merging (WFA_{RM}), aims to reduce the number of produced clusters by merging non-pure clusters into the pure ones. Experiments were conducted to compare the proposed algorithms against seven existing methods. The percentage of success in obtaining optimal number of clusters by AFA is 100% with purity and f-measure of 83% higher than the benchmarked methods. As for entropy measure, the AFA produced the lowest value (0.78) when compared to existing methods. The result indicates that Adaptive Firefly Algorithm can produce compact clusters. This research contributes to the text mining domain as hierarchical text clustering facilitates the indexing of documents and information retrieval processes.

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Keywords: Text mining, Hierarchical text clustering, Swarm Intelligence, Firefly Algorithm

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List of Abbreviations

ACK	Ant Colony with Kernal method
ACO	Ant Colony Optimization
ACPSO	Automatic Clustering Particle Swarm Optimization
ALHC	Average Linkage Hierarchical Clustering
AP	Affinity Propagation
BIC	Bayesian Information Criterion
BKM	Bisect K-means
C-bat	Bat algorithm with K-means
C-cuckoo	Cuckoo algorithm with K-means
C-firefly	Firefly algorithm with K-means
CFWS	Clustering based on Frequent Word Sequence
CLHC	Complete Linkage Hierarchical Clustering
CLIQUE	Clustering In QUEst
CMS	Clustering based on Maximal Frequent Sequence
CPSO	Particle Swarm Optimization with K-means
CRC	Corrected Rand Coefficient
C-wolf	Wolf algorithm with K-means
DBI	Davies Bouldin Index
DBSCAN	Density-Based Spatial Clustering of Application with Noise
DCGA	Dynamic Clustering Gentic Algorithm
DCPG	Dynamic Clustering Particle Swarm Optimization with Gentic Algorithm
DCPSO	Dynamic Clustering using Particle Swarm Optimization
DF	Document Frequency
DHC	Dynamic Hierarchical Compact
DHS	Dynamic Hierarchical Star
DI	Dunn Index
ES	Evolution Strategy
FA	Firefly Algorithm
FIHC	Frequent Itemset based Hierarchical Clustering
FTC	Frequent Term based Clustering
GA	Gentic Algorithm

GGCA	General Grid Clustering Approach
GSA	Gravitational Search Algorithm
GSA-KM	Gravitational Search Algorithm with K-means
HBMO	Honey Bee Mating Optimization
HCM	Hierarchical Clustering Method
HS	Harmony Search
IDF	Inverse Document Frequency
KCPSO	K-means with Particle Swarm Optimization
KFA	K-means with Firefly Algorithm
KHM	K-Harmonic Means algorithm
KPSO	K-means with Particle Swarm Optimization
NMI	Normalized mutual information
NN	Neural Networks
OptiGrid	Optimal Grid clustering
PDDP	Principal Direction Divisive Partitioning
PGSCM	Practical General Stochastic Clustering Method
PSO	Particle Swarm Optimization
PSOKHM	Particle Swarm Optimization with K-Harmonic Means
RFA	Reachback Firefly Algorithm
SA	Simulated Annealing
SAP	Seed Affinity Propagation
SLHC	Single Linkage Hierarchical Clustering
SOM	Self Organizing Map
STING	Statistical Information Grid-based method
TC	Term Contribution
TFIDF	Term Frequency–Inverse Document Frequency
TSP	Travelling Salesman Problem
UPGMA	Un-weighted Pair Group Method with Arithmetic Mean
VI	Validity Index
VSM	Vector Space Model
WFA	Weight-based Firefly Algorithm
WFA_R	Weight-based Firefly Algorithm with relocating
WFA_{RM}	Weight-based Firefly Algorithm with relocating with merging algorithm

CHAPTER ONE

INTRODUCTION

Adaptation in computer science is the process of a system. Adaptive system adapts its behavior to users depending on the information that can be collected from users and the environment. An adaptive system is a set of entities that interact between them and change their behavior in response to their environment. The aim of adaptive change is to achieve the goal. Artificial systems, such as robots, can adapt with the environment by sensing the new condition through the use of feedback loops (i.e. the output of the system becomes input). Furthermore, it can adapt a parameter from the environment based on the change of the conditions; for example, a new adaptive parameter (speed) changes based on the color of the agent added in the adaptive flocking algorithm (Folino, Forestiero, & Spezzano, 2009), and the value of pheromone at each location introduced in the picking and dropping probability functions of the adaptive ant colony clustering algorithm, and it also improves the similarity scaling factor by automatic adoption (El-Feghi, Errateeb, Ahmadi, & Sid-Ahmed, 2009). The adaptive system utilizes machine learning to adapt its behavior over time (Glass, 2011). Swarm Intelligence provides a useful paradigm for implementing adaptive systems (Kennedy & Eberhart, 2001).

Swarm Intelligence or Swarm Computing is “the emergent collective intelligence of groups of simple agents” (Bonabeau, Dorigo, & Theraulaz, 1999). It is useful to solve some problems that cannot be processed using traditional methods. It is used to find optimal solutions in hard problems, such as Travelling Salesman Problem (TSP)

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