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# CONTEXT-AWARE MULTI-ATTRIBUTE DECISION MAKING FOR RADIO ACCESS TECHNOLOGY SELECTION IN ULTRA DENSE NETWORK



DOCTOR OF PHILOSOPHY UNIVERSITI UTARA MALAYSIA 2017



# Awang Had Salleh Graduate School of Arts And Sciences

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# **Abstrak**

Rangkaian Ultra Padat (UDN) merupakan kepadatan ekstrem pelbagai Teknologi Capaian Radio (RAT) yang diletakkan berdekatan antara satu sama lain dalam koordinasi selaras atau tidak selaras. Kepadatan RAT ini membentuk pertindihan zon liputan isyarat yang menyebabkan kekerapan penyerahan perkhidmatan antara RAT yang mengakibatkan pengurangan prestasi sistem secara keseluruhan. Pendekatan pemilihan RAT semasa lebih cenderung kepada kriteria berpusatkan rangkaian yang berkaitan dengan kekuatan isyarat. Namun, anjakan paradigma daripada pendekatan berpusatkan rangkaian kepada pendekatan berpusatkan pengguna memerlukan proses pemilihan pelbagai kriteria, dengan metodologi yang mengaitkan pilihan rangkaian dan pengguna dalam konteks rangkaian generasi masa hadapan. Oleh itu, pendekatan pemilihan yang berkesan diperlukan bagi mengelak penyerahan RAT yang tidak perlu. Tujuan utama kajian ini adalah untuk mencadangkan pembuatan keputusan Peka Konteks Pelbagai-Atribut untuk pemilihan RAT (CMRAT) bagi menyelidik keperluan dalam memilih RAT yang baharu dan seterusnya menentukan kaedah yang terbaik di kalangan kaedah yang ada. CMRAT terdiri daripada dua mekanisma iaitu Proses Analisis Hierarki Peka Konteks (CAHP) dan Teknik Peka Konteks untuk Keutamaan Susunan Keserupaan dengan Penyelesaian Ideal (CTOPSIS). Mekanisma CAHP mengukur keperluan menukar daripada RAT semasa, manakala CTOPSIS pula membantu dalam membuat keputusan untuk memilih sasaran RAT yang terbaik. Satu siri kajian eksperimental telah dijalankan untuk mengesahkan keberkesanan CMRAT bagi memperbaiki prestasi sistem. Kajian ini menggunakan senario pusat membeli belah dan rangkaian bandar yang padat bagi menilai prestasi pemilihan RAT melalui simulasi. Dapatan kajian menunjukkan bahawa pendekatan CMRAT mengurangkan kelengahan dan bilangan serahan yang membawa kepada peningkatan daya pemprosesan dan nisbah penghantaran paket berbanding pendekatan A2A4-RSRQ yang selalu digunakan. Pendekatan CMRAT adalah berkesan untuk pemilihan RAT dalam persekitaran UDN dan seterusnya menyokong penggunaan pelbagai RAT dalam rangkaian 5G masa hadapan. Dengan pemilihan peka konteks, ciri berasaskan pengguna juga telah diberikan penekanan.

**Kata kunci**: Pemilihan peka konteks, Rangkaian pelbagai, Teori pembuatan keputusan pelbagai-atribut.

# **Abstract**

Ultra Dense Network (UDN) is the extreme densification of heterogeneous Radio Access Technology (RAT) that is deployed closely in coordinated or uncoordinated manner. The densification of RAT forms an overlapping zone of signal coverage leading to the frequent service handovers among the RAT, thus degrading overall system performance. The current RAT selection approach is biased towards network-centric criteria pertaining to signal strength. However, the paradigm shift from network-centric to user-centric approach necessitates a multi-criteria selection process, with methodology relating to both network and user preferences in the context of future generation networks. Hence, an effective selection approach is required to avoid unnecessary handovers in RAT. The main aim of this study is to propose the Context-aware Multiattribute decision making for RAT (CMRAT) selection for investigating the need to choose a new RAT and further determine the best amongst the available methods. The CMRAT consists of two mechanisms, namely the Context-aware Analytical Hierarchy Process (CAHP) and Context-aware Technique for Order Preference by Similarity to an Ideal Solution (CTOPSIS). The CAHP mechanism measures the need to switch from the current RAT, while CTOPSIS aids in decision making to choose the best target RAT. A series of experimental studies were conducted to validate the effectiveness of CMRAT for achieving improved system performance. The investigation utilises shopping mall and urban dense network scenarios to evaluate the performance of RAT selection through simulation. The findings demonstrated that the CMRAT approach reduces delay and the number of handovers leading to an improvement of throughput and packet delivery ratio when compared to that of the commonly used A2A4-RSRQ approach. The CMRAT approach is effective in the RAT selection within UDN environment, thus supporting heterogeneous RAT deployment in future 5G networks. With context-aware selection, the user-centric feature is also emphasized.

**Keywords**: Context-aware selection, Heterogeneous networks, Multi Attribute Decision Making Theory.

# **Declaration Associated with This Thesis**

Some of the works presented in this thesis have been published or submitted as listed below.

- [1] Adib Habbal, **Swetha Indudhar Goudar** and Suhaidi Hassan. "Context-aware Radio Access Technology Selection in 5G Ultra Dense Networks", 2017. IEEE Access , vol.PP, no.99, pp.1-1 doi: 10.1109/ACCESS.2017. 2689725.
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- [4] **Swetha Indudhar Goudar**, Adib Habbal, and Suhaidi Hassan. "Context-aware Multi- Attribute Radio Access Technology Selection for 5G Networks". In proceedings of the 4th International Conference on Internet Applications, Protocols and Services (NETAPPS), 2015, ISBN 978-967-0910-06-2.
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# **List of Abbreviations**

1G First Generation

2G Second Generation

3G Third Generation

3GPP Third Generation Partnership Project

4G Fourth Generation

5G Fifth Generation

ANDSF Access Network Discovery Selection Function

AP Access Point

AC Actual Context

ANOVA Analysis of Variance

AHP Analytic Hierarchy Process

ANP Analytical Network Process

CDMA Code Division Multiple Access

CR Coherence Ratio

CI Consistency Index

CAHP Context-aware Analytical Hierarchy Process

CMRAT Context-aware Multiple Attribute Radio Access Technology

CTOPSIS Context-aware Technique of Order Preference by Similarity to Ideal Solution

DF Degree of freedom

DS-I Descriptive Study-I

DS-II Descriptive Study-II

DRM Design Research Methodology

D2D Device to Device

DIA Distance to Ideal Alternative

EPC Evolved Packet Core

EC Expected Context

FDMA Frequency Division Multiple Access

GPRS General Packet Radio Services

GSM Global System for Mobile

GRA Grey Relational Analysis

HeNB Home evolved Base station

HT Horizontal Techniques

IT Information Technology

ITU International Telecommunication Union

IoT Internet of Things

LTE Long Term Evolution

LTE-A Long Term Evolution-Advanced

M2M Machine to Machine

MST Mean Square for Treatment

MSE Mean Square of Error

MIH Media Independent Handover

METIS Mobile and wireless communications Enablers for the 2020 Information Society

MCHO Mobile Controlled Handover

MME Mobility Management Entity

MN Moving Networks

MADM Multiple Attribute Decision Making

MIMO Multiple Input Multiple Output

MEW Multiplicative Exponent Weighting

NIST National Institute of Standards and Technology of the United States

NCHO Network Controlled Handover

NS-3 Network Simulator 3

NGN Next Generation Network

PDR Packet Delivery Ratio

PGW Packet Gateway

PoA Point of Attachment

PC Power Consumption

PS-I Prescriptive Study-I

QCI Quality Channel Index

QoE Quality of Experience

QoS Quality of Service

RAT Radio Access Technology

RSRQ Radio Signal Receiving Quality

RI Random Index

RIF RAT Initiation Factor

RSS Received Signal Strength

RC Research Clarification

SGW Serving Gateway

SINR Signal into Interference Noise Ratio

SAW Simple Additive Weighting

SSE Sum of Squares of Errors

SST Sum of Squares Treatment

TOPSIS Technique for Order Preference by Similarity to Ideal Solution

**Utara Malaysia** 

TDMA Time Division Multiple Access

UDN Ultra Dense Networks

UMTS Universal Mobile Telecommunication Systems

UE User Equipment

V2V Vehicle to Vehicle

VHO Vertical Handover

VOIP Voice Over IP

WPM Weighted Product Method

WRMA Weighted Rating of Multiple Attributes

Wi-Fi Wireless Fidelity

WiMAX Worldwide Interoperability for Microwave Access

WWW World Wide Web

WWWW Wireless World Wide Web

# **CHAPTER ONE**

# INTRODUCTION

The recent societal development and explosion of smart phone usage with ubiquity support are leading to the avalanche of mobile and wireless traffic volume forecasted to intensify thousand fold over the next decade [1]. This phenomena is compelling academia and industry alike toward investigating new approaches in mobile wireless communication systems infrastructure. Furthermore, the increased demand for service support for a plethora of existing and new applications has accelerated the evolution of wireless networks into the Fifth Generation (5G) technology, which is more of a revolution rather than evolution from previous generations [2].

In short, the 5G technology is an augmentation of legacy wireless Radio Access Technologies (RATs), such as Wireless Fidelity (Wi-Fi), Global System for Mobile Communications (GSM), Universal Mobile Telecommunications System (UMTS), Worldwide Interoperability for Microwave Access (WiMAX), Long Term Evolution (LTE), and Long Term Evolution-Advanced (LTE-A) to support the thousand fold increase in traffic with massive connectivity [3]. Figure 1.1 shows the transformation in support of traffic types and evolution from the First Generation (1G) to 5G Technology. Initially, the 1G aimed at achieving voice-only communication, while the Second Generation (2G) enhanced voice communication with short text messages. Next, the Third Generation (3G) introduced multi-media applications with voice and text, but encountered bandwidth limitation issues.

Meanwhile, the Fourth Generation (4G), also known to be the all Internet Protocol (IP) or the mobile Internet era, camouflaged all issues of the previous generation for better coverage and service with all voice, text, and multi-media applications being supported [4]. The superior expectations of the users are converging toward the future

# The contents of the thesis is for internal user only

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